

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Western District of Texas

MATTHEW J. PEASE

Plaintiff(s)

v.

SECURITIES & EXCHANGE COMMISSION

Defendant(s)

Civil Action No. 7:24-CV-00322-DC

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

SECURITIES & EXCHANGE COMMISSION
100 F STREET NE, WASHINGTON, D.C., 20549

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

MATTHEW J. PEASE
1 COBBLER LANE
AMHERST, NH 03031

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT, PHILIP J. DEVLIN

Date: 01/14/2025



Signature of Clerk or Deputy Clerk

Civil Action No. 7:24-CV-00322-DC

PROOF OF SERVICE*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* _____
 was received by me on *(date)* _____.

☐ I personally served the summons on the individual at *(place)* _____
 _____ on *(date)* _____; or

☐ I left the summons at the individual's residence or usual place of abode with *(name)* _____
 _____, a person of suitable age and discretion who resides there,
 on *(date)* _____, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* _____, who is
 designated by law to accept service of process on behalf of *(name of organization)* _____
 _____ on *(date)* _____; or

☐ I returned the summons unexecuted because _____; or

☐ Other *(specify)*:

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Western District of Texas

MATTHEW J. PEASE

Plaintiff(s)

v.

SECURITIES & EXCHANGE COMMISSION
C/O U.S. ATTORNEY GENERAL

Defendant(s)

Civil Action No. 7:24-CV-00322-DC

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

SECURITIES AND EXCHANGE COMMISSION
C/O U.S. ATTORNEY GENERAL
U.S. DEPARTMENT OF JUSTICE
950 PENNSYLVANIA AVENUE, NW
WASHINGTON, DC 20530-0001

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

MATTHEW J. PEASE
1 COBBLER LANE
AMHERST, NH 03031

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT, PHILIP J. DEVLIN

Date: 01/14/2025



Signature of Clerk or Deputy Clerk

Civil Action No. 7:24-CV-00322-DC

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 _____ on *(date)* _____; or

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 on *(date)* _____, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* _____, who is
 designated by law to accept service of process on behalf of *(name of organization)* _____
 _____ on *(date)* _____; or

☐ I returned the summons unexecuted because _____; or

☐ Other *(specify)*:

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Western District of Texas

MATTHEW J. PEASE

Plaintiff(s)

v.

SECURITIES & EXCHANGE COMMISSION
C/O U.S. ATTORNEY
WESTERN DISTRICT OF TEXAS

Defendant(s)

Civil Action No. 7:24-CV-00322-DC

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

SECURITIES AND EXCHANGE COMMISSION
C/O U.S. ATTORNEY
WESTERN DISTRICT OF TEXAS
601 NW LOOP 410, SUITE 600
SAN ANTONIO, TEXAS 78216

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

MATTHEW J. PEASE
1 COBBLER LANE
AMHERST, NH 03031

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT, PHILIP J. DEVLIN

Date: 01/14/2025



Signature of Clerk or Deputy Clerk

Civil Action No. 7:24-CV-00322-DC

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 _____, a person of suitable age and discretion who resides there,
 on *(date)* _____, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* _____, who is
 designated by law to accept service of process on behalf of *(name of organization)* _____
 _____ on *(date)* _____ ; or

☐ I returned the summons unexecuted because _____ ; or

☐ Other *(specify)*:

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00 .

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Western District of Texas

MATTHEW J. PEASE

Plaintiff(s)

v.

FINANCIAL INDUSTRY REGULATORY AUTHORITY

Defendant(s)

Civil Action No. 7:24-CV-00322-DC

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

FINANCIAL INDUSTRY REGULATORY AUTHORITY
1735 K STREET NW
WASHINGTON, D.C., 20006

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

MATTHEW J. PEASE
1 COBBLER LANE
AMHERST, NH 03031

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT, PHILIP J. DEVLIN

Date: 01/14/2025



Signature of Clerk or Deputy Clerk

Civil Action No. 7:24-CV-00322-DC

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☐ I left the summons at the individual's residence or usual place of abode with *(name)* _____
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 on *(date)* _____, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* _____, who is
 designated by law to accept service of process on behalf of *(name of organization)* _____
 _____ on *(date)* _____; or

☐ I returned the summons unexecuted because _____; or

☐ Other *(specify)*:

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Western District of Texas

MATTHEW J. PEASE

Plaintiff(s)

v.

DEPOSITORY TRUST & CLEARING CORPORATION

Defendant(s)

Civil Action No. 7:24-CV-00322-DC

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

DEPOSITORY TRUST & CLEARING CORPORATION
55 WATER STREET
NEW YORK, NY, 10041

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

MATTHEW J. PEASE
1 COBBLER LANE
AMHERST, NH 03031

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT, PHILIP J. DEVLIN

Date: 01/14/2025



Signature of Clerk or Deputy Clerk

Civil Action No. 7:24-CV-00322-DC

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 on *(date)* _____ , and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* _____ , who is
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☐ I returned the summons unexecuted because _____ ; or

☐ Other *(specify)*:

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00 .

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Western District of Texas

MATTHEW J. PEASE

Plaintiff(s)

v.

OTC MARKETS GROUP INC.

Defendant(s)

Civil Action No. 7:24-CV-00322-DC

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

OTC MARKETS GROUP INC.
300 VESEY STREET, 12TH FLOOR
NEW YORK, NY 10282

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

MATTHEW J. PEASE
1 COBBLER LANE
AMHERST, NH 03031

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT, PHILIP J. DEVLIN

Date: 01/14/2025



Signature of Clerk or Deputy Clerk

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 on *(date)* _____, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* _____, who is
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 _____ on *(date)* _____ ; or

☐ I returned the summons unexecuted because _____ ; or

☐ Other *(specify)*:

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00 .

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Western District of Texas

MATTHEW J. PEASE

Plaintiff(s)

v.

JOHN BRDA

Defendant(s)

Civil Action No. 7:24-CV-00322-DC

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

JOHN BRDA
1425 FRONTENAY CT
ST. LOUIS, MO 63122

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

MATTHEW J. PEASE
1 COBBLER LANE
AMHERST, NH 03031

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CLERK OF COURT, PHILIP J. DEVLIN

Date: 01/14/2025



Signature of Clerk or Deputy Clerk

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Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Western District of Texas

MATTHEW J. PEASE

Plaintiff(s)

v.

GREGORY MCCABE

Defendant(s)

Civil Action No. 7:24-CV-00322-DC

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

GREGORY MCCABE
500 W. TEXAS AVENUE, SUITE 1020
MIDLAND, TEXAS, 79701

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

MATTHEW J. PEASE
1 COBBLER LANE
AMHERST, NH 03031

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

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AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Western District of Texas

MATTHEW J. PEASE

Plaintiff(s)

v.

NEXT BRIDGE HYDROCARBONS, INC.

Defendant(s)

Civil Action No. 7:24-CV-00322-DC

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

NEXT BRIDGE HYDROCARBONS, INC.
500 W. TEXAS AVENUE, SUITE 1020
MIDLAND, TEXAS, 79701

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

MATTHEW J. PEASE
1 COBBLER LANE
AMHERST, NH 03031

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CLERK OF COURT, PHILIP J. DEVLIN

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